SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Freitag Doug	2. Date of Event Requiring Statement (Month/Day/Year) 09/30/2016 3. Issuer Name and Ticker or Trading Symbol 3DICON CORP [TDCP]						
(Last) (First) (Middle) C/O 3DICON CORPORATION 6804 SOUTH CANTON AVENUE, SUITE 150 (Street) TULSA OK 74136 (City) (State) (Zip)			4. Relationship of Reporting Pers (Check all applicable) X Director X Officer (give title below) Chief Executive	10% Owner Other (spec below)	ify 6. Ind	th/Day/Year) ividual or Joint/ cable Line) Form filed by	te of Original Filed Group Filing (Check r One Reporting Person r More than One rson
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			Nature of Indirect Beneficial Ownership Istr. 5)	
Common Stock, par value \$.0001			5,000,000	D			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Series B Convertible Preferred Stock	12/11/2015	(1)	Common	85,515,606	(2)	D	
Explanation of Responses:		7	•			1	

1. None

2. Each share of Series B Convertible Preferred Stock is convertible into 1.914 shares of common stock.

<u>/s/ Doug Freitag</u>

10/27/2016

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.