SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

1. Name and Address of Reporting Person* KEEN VICTOR F			2. Issuer Name and Ticker or Trading Symbol CORETEC GROUP INC. [CRTG]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>REEN V</u>	<u>ICTOR F</u>		[] []	X Director X 10% Owner					
			—	Officer (give title Other (specify					
(Last)	st) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	below) below)					
C/O THE CORETEC GROUP INC.		JP INC.	02/10/2022	Co-Chairman					
333 JACKS	SON PLAZA, SU	ITE 1200							
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)				Line)					
ANN ARB	OR. MI	48103		X Form filed by One Reporting Person					
,				Form filed by More than One Reporting Person					
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/10/2022		S ⁽¹⁾		36,690 ⁽³⁾	D	\$0.043	3,596,951 ⁽³⁾	I	By Carlton James Ltd
Common Stock	02/14/2022		S ⁽²⁾		36,690 ⁽³⁾	D	\$0.045	3,560,261 ⁽³⁾	Ι	By Carlton James Ltd

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. On February 10, 2022, Carlton James Ltd. sold an aggregate of 250,000 shares of common stock in open market transactions at a price per share equal to \$0.043629, reducing Mr. Keen's pecuniary interest in the shares held by Carlton James Ltd. by 36,690 shares.

2. On February 14, 2022, Carlton James Ltd. sold an aggregate of 250,000 shares of common stock in open market transactions at a price per share equal to \$0.0458, reducing Mr. Keen's pecuniary interest in the shares held by Carlton James Ltd. by 36,690 shares.

3. Represents Reporting Person's pecuniary interest in shares sold and held by Carlton James Ltd.

<u>/s/ Victor F. Keen</u>

** Signature of Reporting Person Date

02/14/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.