SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* ANDERSON DENNIS KEITH	Requiring S (Month/Day	. Date of Event equiring Statement Month/Day/Year) 9/30/2016 3. Issuer Name and Ticker or Trading Symbol <u>3DICON CORP</u> [TDCP]							
(Last) (First) (Middle) C/O 3DICON CORPORATION			4. Relationship of Reporting (Check all applicable) X Director		o Issuer	5. If Amendment, Date of Original Filed (Month/Day/Year)			
6804 SOUTH CANTON AVENUE, SUITE 150			Officer (give title below)	Othe below	er (specify w)	Applica	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) TULSA OK 74136							Form filed by Reporting Per	More than One son	
(City) (State) (Zip)									
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			Beneficially Owned (Instr. 4) For		vnership 1: Direct (D) direct (I) 7: 5)	4. Natur (Instr. 5	re of Indirect Beneficial Ownership 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4) 2. Date Exer Expiration I (Month/Day)		ate	3. Title and Amount of Securi Derivative Security (Instr. 4)	ties Underly	Jnderlying 4. Conv or Ex Price		5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	r Deri	vative	or Indirect (I) (Instr. 5)		
Series B Convertible Preferred Stock	09/30/2016	(1)	Common	30,070,16	62,432	(2)	D		

Explanation of Responses:

1. None

2. Each share of Series B Convertible Preferred Stock is convertible into 1,914 shares of common stock.

/s/ Dennis Anderson

10/12/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.